1 HOW COMPETITION AFFECTS EVOLUTIONARY RESCUE

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5 Abstract

Populations facing novel environments can persist by adapting. In nature, the ability to adapt 6 and persist will depend on interactions between coexisting individuals. Here we use an adap-7 tive dynamic model to assess how the potential for evolutionary rescue is affected by intra-8 and interspecific competition. Intraspecific competition (negative density-dependence) lowers 9 abundance, which decreases the supply rate of beneficial mutations, hindering evolutionary res-10 cue. On the other hand, interspecific competition can aid evolutionary rescue when it speeds 11 adaptation by increasing the strength of selection. Our results clarify this point and give an 12 additional requirement: competition must increase selection pressure enough to overcome the 13 negative effect of reduced abundance. We therefore expect evolutionary rescue to be most 14 likely in communities which facilitate rapid niche displacement. Our model, which aligns to 15 previous quantitative and population genetic models in the absence of competition, provides a 16 first analysis of when competitors should help or hinder evolutionary rescue. 17

18 Keywords

19 Adaptation, adaptive dynamics, competition, environmental change, mathematical model,

20 persistence

21 **1** Introduction

Individuals are often adapted to their current environment [1]. When the environment changes individuals may become maladapted, fitness may drop, and population abundances may decline [2]. If the changes in the environment are severe enough, populations may go extinct. But populations can also evolve in response to the stress and thereby return to healthy abundances [3, 4]. Why some populations are capable of rescuing themselves from extinction through evolution, while others go extinct, is a central question to both basic evolutionary theory and conservation [5].

Ecological and evolutionary responses to changing environments are contingent on the community in which the change occurs [6, 7, 8, 9, 10]. A population's ability to adapt and persist in changing environments will therefore also hinge on the surrounding community [11] (see also [12], this issue). By including the ecological community in a formal theory of adaptation to changing environments, we may better predict the response of natural communities to contemporary stresses, such as invasive species [13, 14] and global climate change [15, 16].

Competition reduces population abundance [17, 18, 19, 20]. Since less abundant populations are more likely to go extinct when exposed to new environments [21, 22], competition may therefore lower the potential for evolutionary rescue. But competition can also increase selective pressure [23], speed niche expansion [24, 25, 26], and increase rates of evolution [27], possibly allowing populations to adapt to new conditions faster. These potentially contrasting effects may account for the unanticipated population dynamics and patterns of persistence in competitive communities [6] (but see [10]).

Currently, most theory on adaptation to abrupt environmental change consider only isolated 42 populations [3, 28, 29, 30, 31, 32, 33], and many of these studies assume unbounded popu-43 lation growth, thus ignoring intraspecific competition as well. The studies that do consider 44 intraspecific competition, in the form of negative density-dependence, give inconsistent con-45 clusions, stating that density-dependence has no effect [29] or decreases [30, 34] persistence. 46 Of the handful of studies that examine the effect of interspecific competition on adaptation to 47 environmental change, nearly all predict slower adaptation and more extinctions (reviewed in 48 [35]). One notable exception suggests that interspecific competition can aid persistence in a 49

continuously changing environment, by adding a selection pressure that effectively "pushes"
 the more adapted populations in the direction of the moving environment [36].

Here we use the mathematical framework of adaptive dynamics to describe the evolutionary 52 and demographic dynamics of a population experiencing competition and an abrupt change in 53 the environment. Adaptive dynamics allows us to incorporate both intra- and interspecific 54 competition in an evolutionary model while maintaining analytical tractability. We assess the 55 potential for evolution to rescue populations by measuring the 'time at risk', i.e. the time a 56 population spends below a critical abundance [3]. First, we derive an expression for the 'time 57 at risk' in a population undergoing an abrupt change in isolation. We then compare our results 58 to previous studies and test the robustness of our results by relaxing a number of simplifying 59 assumptions using computer simulations. Finally, we examine how a population's ability to 60 adapt and persist to an abrupt environmental change is impacted by the presence of competing 61 species. 62

63 2 Model and Results

64 2.1 One-population model

⁶⁵ We first examine how, in the absence of competitors, an asexual population with density- and ⁶⁶ frequency-dependent population growth responds to an abrupt change in the environment.

⁶⁷ We assume that each individual in the population has a trait value z, and that a phenotype's ⁶⁸ growth rate is determined by both its own trait value as well as the trait value of all other ⁶⁹ individuals within the population. Population dynamics are described by the logistic equation ⁷⁰ (Equation 2 in [37])

$$\frac{dn_i}{dt} = n_i R \left(1 - \frac{\int \alpha(z_i, z_j) n_j dz_j}{k(z_i, z^*)} \right) \tag{1}$$

where n_i is the number of individuals with trait value z_i , R is the per capita intrinsic growth rate, $\alpha(z_i, z_j)$ is the per capita competitive effect of individuals with trait z_j on individuals with trait z_i , and $k(z_i, z^*)$ is the carrying capacity of individuals with trait z_i in an environment where the trait value giving maximum carrying capacity is z^* . We describe carrying capacity k

⁷⁵ as a Gaussian distribution (Equation 1 in [37])

$$k(z_i, z^*) = K e^{-(z_i - z^*)^2 / 2\sigma_k^2}$$
(2)

where *K* is the maximum carrying capacity and $\sigma_k > 0$ is the 'environmental tolerance', which describes how strongly carrying capacity varies with z_i . For a given deviation from z^* , smaller variances σ_k^2 mean larger declines in carrying capacity *k*. We therefore refer to σ_k^{-2} as the strength of stabilizing selection. Data on yeast responses to salt [5, 38] fit Gaussian carrying capacity functions, as described by Equation 2 (ESM).

⁸¹ We do not give a specific form for intraspecific competition α , but instead give requirements ⁸² that are satisfied by a wide range of functions. First, we assume that individuals with the same ⁸³ trait value compete most strongly, that is $\frac{d}{dz}\alpha(z,z) = 0$ and $\frac{d^2}{dz^2}\alpha(z,z) < 0$. This is biologically ⁸⁴ reasonable and could describe, for instance, the effect of beak size on finches competing for ⁸⁵ seeds, where individuals with similar sized beaks compete strongly for similar sized seeds [39]. ⁸⁶ And we abitrarily set $\alpha(z, z) = 1$, meaning that individuals with the same trait value take up ⁸⁷ one 'unit' of carrying capacity.

Trait value z is assumed to be determined by a large number of loci, each with equal and 88 small effect, making the range of possible phenotypes continuous and unbounded (i.e., $z \in$ 89 \mathbb{R}). To proceed analytically, we first assume that mutations are rare. The population remains 90 monomorphic, with all individuals having 'resident' trait value \hat{z} . The evolutionary trajectory 91 is determined by the per capita growth rate of rare mutants in the neighborhood of \hat{z} (adaptive 92 dynamics; [40]). When mutations are sufficiently rare, evolution occurs slow enough for us to 93 consider the population at demographic equilibrium on an evolutionary timescale. This stands 94 in contrast to previous models which jointly model demography and evolution (e.g., [3, 34]). 95 The timescale separation between demography and evolution allows us to incorporate intra-96 and interspecific competition while maintaining analytical tractability. We later use computer 97 simulations to examine how our analytical results perform when demography and evolution 98 occur on similar timescales. 99

In Appendix A we show that when $\frac{d^2}{dz^2}\alpha(z,z) < \sigma_k^{-2}$ the 'optimal trait value' z^* is both convergence stable (i.e., by small steps the resident trait converges to z^*) and evolutionary stable (i.e., once $\hat{z} = z^*$ no other strategies can invade; z^* is an ESS, *sensu* Maynard Smith and Price [41]). We assume $\frac{d^2}{dz^2}\alpha(z,z) < \sigma_k^{-2}$ for the remainder of the paper, which means frequency-dependence is weak enough [42]. Our results apply for any function α , as long as z^* is both convergence and evolutionary stable.

Let our population begin in a constant environment with optimal trait value $z^* = z_0^*$. In time, all individuals become perfectly adapted $\hat{z} = z_0^*$. The population will reach equilibrium abundance $\tilde{n} = K$, and its growth rate will become zero (Figure 1). Let us call this original abundance K_0 .

110 INSERT FIGURE 1 HERE

Suppose then that the environment suddenly changes so that the new optimal trait value is $z_n^* \neq z_0^*$. Our monomorphic population, with trait value $\hat{z}=z_0^*$, then immediately has equilibrial abundance $k(z_0^*, z_n^*) < K_0$ (Figure 1). The environmental change serves to decrease the carrying capacity of the population. The population will initially survive the abrupt change if $k(z_0^*, z_n^*) \ge 1$ or, equivalently

$$|z_0^* - z_n^*| \le \sigma_k \sqrt{2ln(K)} \equiv \Delta z^*.$$
(3)

Note that setting $\tilde{n} \ge 1$ as the extinction threshold scales population abundance in units of minimal viable population size [43, 37]. Because z_n^* is the new evolutionary and convergence stable strategy, if the population survives the change it will evolve toward the new optimal trait value, $\hat{z} \to z_n^*$. According to the canonical equation of adaptive dynamics [44], the monomorphic trait value \hat{z} will change at rate

$$\frac{d\hat{z}}{dt} = \frac{\mu \sigma_{\mu}^2}{2} \tilde{n}(\hat{z}, z_n^*) g(\hat{z}, z_n^*), \tag{4}$$

where μ is the per capita per generation mutation rate, σ_{μ}^2 is the mutational variance (mutations symmetrically distributed with mean of parental value), and $g(\hat{z}, z_n^*)$ is the local fitness gradient (Appendix A):

$$g(\hat{z}, z_n^*) = \frac{\partial}{\partial z_m} \left(\frac{1}{n_m} \frac{dn_m}{dt} \right) \big|_{z_m = \hat{z}} = \frac{-R(\hat{z} - z_n^*)}{\sigma_k^2},\tag{5}$$

where n_m and z_m are a rare mutant's abundance and trait value, respectively, and \hat{z} is the resident trait value [40]. The local fitness gradient describes the slope of the fitness function in the neighborhood of the parental trait value. Steeper slopes signify greater fitness differences between individuals with similar but unequal trait values [45]. Notice that R/σ_k^2 is the strength of stabilizing selection per unit time.

¹²⁹ The rate of change in trait value is then:

$$\frac{d\hat{z}}{dt} = -\frac{\mu \sigma_{\mu}^2 R(\hat{z} - z_n^*)}{2\sigma_k^2} K e^{-(\hat{z} - z_n^*)^2/2\sigma_k^2}.$$
(6)

We cannot solve Equation 6 explicitly for $\hat{z}(t)$, but using a first-order Taylor expansion we derive an approximate solution, describing evolution and demography following the abrupt change (Appendix B):

$$\hat{z}(t) \approx z_n^* + (z_0^* - z_n^*) e^{\frac{-\mu \sigma_\mu^2 K_0 R}{2\sigma_k^2} t}.$$
 (7)

133 and

$$\tilde{n}(t) = Kexp \left[-\left((z_0^* - z_n^*) e^{\frac{-\mu \sigma_\mu^2 K_0 R}{2\sigma_k^2} t} \right)^2 / 2\sigma_k^2 \right].$$
(8)

Taking the Taylor expansion about $z_0^* - z_n^* = 0$ results in the assumption that the environmental change $|z_0^* - z_n^*|$ is small relative to environmental tolerance σ_k (i.e., a weak 'initial stress'). Our first-order approximation of the Gaussian k is therefore taken at the maximum z = 0, which is a line with slope zero and height K_0 . This means we assume mutational input μk is constant at μK_0 , effectively decoupling the demographic and evolutionary dynamics of the recovering population. Our first-order approximation is the highest-order for which we can obtain an analytical solution.

Now, let N_c be the abundance below which demographic or environmental stochasticity are likely to cause rapid extinction [46, 3]. We use this heuristic N_c , in the place of stochastic models, for simplicity. We are interested in the amount of time a population spends below this threshold, i.e., how long the population is at risk of extinction.

The population will never be at risk of extinction if its equilibrial abundance \tilde{n} remains

above the critical abundance N_c . In this model equilibrial abundance strictly increases in evolutionary time in a constant environment. Abundance is therefore at a minimum immediately following the abrupt shift in the environment. The population will avoid all chance of extinction if $N_c < k(z_0^*, z_n^*)$ or, rearranging,

$$|z_0^* - z_n^*| < \sigma_k \sqrt{2ln\left(\frac{K}{N_c}\right)} \equiv \Delta z^{**}.$$
(9)

Here, we are most interested in the case where the population initially survives the abrupt change but abundance drops below the critical abundance: $\Delta z^{**} < |z_0^* - z_n^*| \le \Delta z^*$, as this is when evolution is required to rescue populations from extinction.

From Equation 2 we can find the trait value z_{N_c} required for a carrying capacity of N_c . Plugging z_{N_c} into Equation 7 and solving for t gives the time it will take a population to evolve to this safe trait value z_{N_c} , which we will call the 'time at risk' t_r (Figure 2)

$$t_r = \frac{\sigma_k^2}{\mu \sigma_\mu^2 K_0 R} ln \Big[\frac{(z_0^* - z_n^*)^2}{2\sigma_k^2 ln(\frac{K}{N_c})} \Big].$$
 (10)

156 INSERT FIGURE 2 HERE

So the time at risk t_r increases with the strength of the initial stress $|z_0^* - z_n^*|\sigma_k^{-1}$ and the ratio of critical abundance to maximum carrying capacity N_c/K and decreases with the mutational input μK_0 , mutational variance σ_{μ}^2 , and the strength of stabilizing selection per unit time R/σ_k^2 . Time at risk t_r is a unimodal function of environmental tolerance σ_k , with longest times at intermediate tolerances (Figure 3). Time at risk is reduced at small and large environmental tolerances because small tolerances cause strong selection (and hence fast evolution) and large tolerances allow greater abundances for a given degree of maladaptation.

164 INSERT FIGURE 3 HERE

2.2 Comparison of one-population model to previous work

Here we compare our one-population model to previous discrete-time quantitative genetic models [3, 34]. We first show how our adaptive dynamics approach gives a qualitatively similar
description of trait dynamics over time and then compare our predictions of time at risk.

¹⁶⁹ In a model without frequency- or density-dependence, Gomulkiewicz and Holt [3] describe

the evolutionary trajectory of the population mean trait value as a geometrical approach to the
optimum (Equation 5 in [3]):

$$d_t = d_0 \left[\frac{w + (1 - h^2)P}{w + P} \right]^t$$
(11)

where d_t is the distance of the population mean trait value from the trait value giving maximum growth rate at time t, w is the variance of the growth rate function, h^2 is the trait heritability, and P is the constant phenotypic variance [3]. We derive a qualitatively similar trajectory (Equation 7), in continuous time, from adaptive dynamics. Adaptive dynamics provides greater ecological context by including intrinsic growth rate and maximum carrying capacity as parameters in the evolutionary trajectory. The trajectories are identical when

$$\frac{w + (1 - h^2)P}{w + P} = Exp \Big[\frac{-\mu \sigma_{\mu}^2 K_0 R}{2\sigma_k^2} \Big].$$
 (12)

Gomulkiewicz and Holt [3] refer to Equation 12 as the evolutionary 'inertia' of a trait. Inertia 178 is bounded between zero and one in both models. When inertia is one there is no evolution. 179 In Gomulkiewicz and Holt [3] evolution halts when trait heritability h^2 or phenotypic variance 180 is zero. In our model, inertia is determined by mutational input μK_0 , and evolution halts 181 when there are no mutations. For a given w and $h^2 \neq 0$, inertia is minimized and evolution 182 proceeds at a maximum rate in Gomulkiewicz and Holt [3] as phenotypic variance goes to 183 infinity $P \to \infty$. In our model, for a given strength of stabilizing selection per unit time R/σ_k^2 , 184 inertia to approaches zero and the rate of evolution is maximized as mutational input goes to 185 infinity $\mu K_0 \to \infty$. 186

Note that to maintain analytical tractability both models assume the material which selec-187 tion acts upon (phenotypic variance P or mutational input μK_0) is constant. Both models will 188 therefore be more accurate when the environmental change is relatively small. Large changes 189 in the environment are likely to cause strong selection and large variation in abundance, which 190 could greatly alter phenotypic variance and mutational input [30]. Since phenotypic variance 191 and mutational input are expected to decline under strong stabilizing selection and reduced 192 abundance [47], respectively, the analytical results of both models will tend to underestimate a 193 population's time at risk. 194

Our evolutionary trajectory aligns even closer with that of Chevin and Lande (Equation 10 in [34]; also see Equation 18a in [48]), who incorporated both density-dependence and phenotypic plasticity. The two trajectories are identical when there is constant plasticity $\varphi = 0$, additive genetic variance is equivalent to the supply rate of beneficial mutations times mutational size $\sigma_a^2 = \mu \sigma_\mu^2 K_0/2$, and the two measures of stabilizing selection strength per unit time are the same $\gamma^* = R/\sigma_k^2$.

Although our evolutionary trajectory aligns closely with those of Gomulkiewicz and Holt 201 [3] and Chevin and Lande [34], we uncover an analytical approximation for the time at risk t_r 202 by assuming a timescale separation between demographics and evolution. Gomulkiewicz and 203 Holt [3] and Chevin and Lande [34] do not assume such a timescale separation, leading to more 204 complex population dynamics and the need to calculate t_r numerically. This makes a quantita-205 tive comparison with our time at risk approximation impossible. However, Gomulkiewicz and 206 Holt [3] agree that the time at risk t_r should increase with initial maladaptation (i.e., magnitude 207 of environmental change) $|z_0^* - z_n^*|$ and that at high degrees of maladaptation the relationship 208 with time at risk should be close to linear (Figure 3; Figure 5A in [3]). In addition, in both 209 Gomulkiewicz and Holt [3] and Chevin and Lande [34] strengthening selection $1/\omega \rightarrow \infty$ in-210 creases the rate of adaptation while decreasing abundance (through a decline in mean fitness). 211 Time at risk should therefore be minimized at an intermediate selection strength, as in our 212 model (Figure 3, bottom panel), although they do not explore this explicitly. Gomulkiewicz 213 and Holt [3] also argue that the time at risk t_r should decrease with the abundance before envi-214 ronmental change, since the population declines geometrically beginning at this abundance. In 215 our model, time at risk also decreases with abundance before environmental change K_0 , but for 216 a different reason. Recall that because of our first-order approximation we assume a small ini-217 tial stress and hence a small change in abundance. This allows us to assume that mutations are 218 supplied at a constant rate μK_0 , where μ is the per capita mutation rate and K_0 is the abundance 219 before environmental change. A greater abundance before environmental change K_0 therefore 220 causes faster evolution resulting in less time at risk. Finally, although 22

222 2.3 Simulations

Adaptive dynamics assumes mutations are rare enough such that, on the timescale of evolution, 223 the population remains monomorphic (i.e., a mutation fixes or is lost before the next arises [49]) 224 and at demographic equilibrium (i.e., demography is faster than evolution) and that mutations 225 are small enough to allow local stability analyses to determine evolutionary stability [40, 45]. 226 Our approximation of time at risk t_r (Equation 10) also rests on the assumption that the initial 227 stress $|z_0^* - z_n^*|\sigma_k^{-1}$ is weak. We therefore performed computer simulations to examine how 228 well our analytical result (time at risk t_r) holds when we relax these assumptions. To do this 229 we varied (a) mutation rate μ and maximum carrying capacity K, (b) mutational variance σ_{μ}^2 , 230 and (c) the strength of the initial stress $|z_0^* - z_n^*|\sigma_k^{-1}$. Computer simulations allow multiple 231 phenotypes to coexist and introduces stochasticity in mutation rate and size. 232

Simulations describe the numerical integration of Equation 1, using a 4th-order Runge Kutta 233 algorithm with adaptive step size, and stochastic mutations. Mutations occur in a phenotype 234 with probability $\mu n \triangle t$, where μ is the per capita per time mutation rate, n is the abundance of 235 the phenotype, and riangle t is the realized time step. For each mutation occuring in a phenotype 236 with trait value z, one individual is given a new trait value, randomly chosen from a normal dis-237 tribution with mean z and standard deviation σ_{μ} . Trait values are rounded to the third decimal 238 to prevent the accumulation of overly similar phenotypes. Phenotypes with abundance below 239 one were declared extinct. Simulations began with the population at maximum carrying capac-240 ity K and all individuals optimally adapted with trait value $z = z_0^*$. At the timestep 500, the 241 optimal trait value instantaneously shifted to $z_n^* \neq z_0^*$. Simulations were terminated at timestep 242 50000. Code available upon request; implemented in R [50]. 243

Parameter values for μ , K, and $|z_0^* - z_n^*| \sigma_k^{-1}$ were chosen in the range of those observed for yeast exposed to increased salt concentration [5]. We estimated σ_k from Figure S1 in Bell and Gonzalez [5] (ESM).

In all simulations, the population evolved towards z_n^* , and, if successful in reaching z_n^* , remained there. Likewise, population size always approached carrying capacity, as expected (Figure 2).

250

The transient dynamics, however, showed varying degrees of congruence with our predic-

tion (Equations 7 and 8; Figure 4). In simulations the amount of standing phenotypic variance 251 increases with mutation rate μ times population size. Our timescale assumption, which im-252 plies zero phenotypic variance, is thought to become unrealistic as $\mu Klog(K)$ approaches one 253 [51]. The threshold of $\mu K loq(K)$ is obtained because μK is the mutational input and loq(K)254 is the typical time of fixation for a successful mutant when the population is well adapted 255 [51]. Over our parameter range (μ ={10⁻⁷, 10⁻⁶, 10⁻⁵, 10⁻⁴}, K={10⁴, 10⁵, 10⁶}) $\mu Klog(K)$ 256 seemed to be an excellent predictor of accuracy; our predictions were much more accurate when 257 $\mu Klog(K) < 1$. When $\mu Klog(K) > 1$ we greatly underestimated the time at risk (triangles in 258 Figure 4). 259

Mutational variance σ_{μ}^2 seemed to have little effect on the accuracy of our predictions, at least over the range of parameter space explored here (σ_{μ} ={0.01, 0.05}; Figure 4). However, our analytical prediction did perform consistently better when the initial stress $|z_0^* - z_n^*|\sigma_k^{-1}|$ was small, for all parameter combinations (compare black $|z_0^* - z_n^*|\sigma_k^{-1}$ =1.2 and gray $|z_0^* - z_n^*|\sigma_k^{-1}$ =2.1 points in Figure 4).

265 INSERT FIGURE 4 HERE

266 2.4 Competition

We now introduce interspecific competition. Let the population dynamics of the focal population be described by the logistic growth equation:

$$\frac{dn_i}{dt} = n_i R \Big(1 - \frac{\int \alpha(z_i, z_j) n_j dz_j + C(z_i, t)}{k(z_i, z^*)} \Big),$$
(13)

where $C(z_i, t) \ge 0$ is the effect of interspecific competition on individuals in the focal popula-269 tion with trait value z_i at time t. We do not model the coevolution of the competitors explicitly; 270 we instead keep interspecific competition $C(z_i, t)$ as general as possible, allowing it to depend 271 on focal trait value z_i and vary in time t with any other biotic or abiotic factor (including the 272 trait values and abundance of the focal and competing populations). For evolutionary rescue 273 of the focal population, the only relevant dependency is with z_i . Our formulation allows com-274 petition C to encompass all possible types of coevolution feedback. In fact, C could even be 275 interpreted as an abiotic selection pressure. However, for brevity, we limit our discussion to 276

C as the effect of a competitor. Previous studies have explicitly modeled the coevolution of
competing species in a constant environment [37, 52, 53], at the expense of analytical results.
All other variables in Equation 13 are defined as in the one-population case.

We again assume that mutations are rare, so that our focal population remains monomorphic with trait value \hat{z} and equilibrial abundance \tilde{n} . In the presence of competition, equilibrium abundance of the focal population is

$$\tilde{n}(\hat{z}, z^*, t) = k(\hat{z}, z^*) - C(\hat{z}, t).$$
(14)

²⁸³ Comparison with the one-population case, where $\tilde{n} = k$, shows how competition reduces abun-²⁸⁴ dance.

Now, let the competing populations coexist in a constant environment with $z^* = z_0^*$. The 285 population will not necessarily evolve towards z_0^* but to a 'competitive optimal' $z_{c,0}^*$, which 286 is the trait value which maximizes equilibrial abundance \tilde{n} in the original environment (Ap-287 pendix C). Assuming $z_{c,0}^*$ is a fitness maximum (Appendix C), the focal population will even-288 tually evolve to the competitive optimal $\hat{z} = z_{c,0}^*$. We then let the competitive optimal change 289 abruptly, to new trait value $z_{c,n}^* \neq z_{c,0}^*$. This change could arise from a shift in competi-290 tion C or in the optimal trait value $z^* = z_n^*$. The abundance of the focal population is now 291 $k(z_{c,0}^*, z_n^*) - C(z_{c,0}^*, t)$. The amount of competition a population feels immediately following 292 the environmental change $C(z_{c,0}^*, t)$ will depend on the type of environmental change as well 293 as the response of the competitors. Competition may be close to negligible if resources remain 294 plentiful but the abundance of competitors are greatly reduced (e.g., when a pollutant causes 295 severe mortality in the competitor). However, competition may be exceptionally strong if the 296 change in environment is a shift in available resources, so that the supply of resources is limit-297 ing (e.g., seed size changes on an island supporting multiple species of finch [54]). Persistence 298 requires $k(z_{c,0}^*, z_n^*) - C(z_{c,0}^*, t) \ge 1$, and therefore persistence following environmental change 299 is more likely when competition $C(z_{c,0}^*, t)$ is weak. 300

In Appendix C we derive the local fitness gradient of the focal population. In the new environment, with $z^* = z_n^*$, it can be written as

$$g(\hat{z}, z_n^*, t) = \frac{\partial}{\partial z_m} \left(\frac{1}{n_m} \frac{dn_m}{dt} \right) \Big|_{z_m = \hat{z}} = R \Big[\frac{\frac{\partial}{d\hat{z}} \Big(k(\hat{z}, z_n^*) - C(\hat{z}, t) \Big)}{k(\hat{z}, z_n^*)} \Big].$$
(15)

The population evolves larger population size k - C until $\frac{\partial}{\partial \hat{z}}(k - C) = 0$, which occurs when the population reaches the competitive optimal in the new environment $\hat{z} = z_{c,n}^*$ (Figure 5). We assume that $z_{c,n}^*$ is a fitness maximum, such that the population remains monomorphic (Appendix C).

From Equation 15 we see that, relative to the one-population case (Equation 5), competition 307 can alter the strength and direction of selection, depending on how competition changes with 308 trait value (Figure 5). Competition increases the strength of selection when $\left|\frac{\partial}{\partial \hat{z}}(k-C)\right| > \left|\frac{\partial}{\partial \hat{z}}k\right|$. 309 This is will always occur when competition selects in the same direction as carrying capacity 310 (i.e., $\frac{\partial k}{\partial \hat{z}}$ and $\frac{\partial C}{\partial \hat{z}}$ are of different signs). Competition decreases selection when $\left|\frac{\partial}{\partial \hat{z}}(k-C)\right| < \infty$ 311 $|\frac{\partial}{\partial \hat{z}}k|$, which will occur when competition weakly selects in the opposite direction to carrying 312 capacity (i.e., $\frac{\partial k}{\partial \hat{z}}$ and $\frac{\partial C}{\partial \hat{z}}$ are of the same sign and $\left|\frac{\partial C}{\partial \hat{z}}\right|$ is small). When competition selects in 313 the opposite direction as carrying capacity and has a stronger selective effect $|\frac{\partial C}{\partial \hat{z}}| > |\frac{\partial k}{\partial \hat{z}}|$, it will 314 reverse the direction of selection and the population will evolve away from z_n^* . Competition 315 has no effect on selection when it is independent of trait value $\frac{\partial C}{\partial \hat{z}} = 0$. 316

317 INCLUDE FIGURE 5 HERE

Combining Equations 14 and 15 we compute the rate of adaptation, as described by the canonical equation [44]:

$$\frac{d\hat{z}}{dt} = \frac{-\mu\sigma_{\mu}^{2}}{2} \Big[k(\hat{z}, z_{n}^{*}) - C(\hat{z}, t) \Big] R \Big[\frac{\frac{\partial}{\partial \hat{z}} \Big(k(\hat{z}, z_{n}^{*}) - C(\hat{z}, t) \Big)}{k(\hat{z}, z_{n}^{*})} \Big].$$
(16)

The rate the focal population adapts $\frac{d\hat{z}}{dt}$ depends on how competition affects abundance relative to selection. Due to the added complexity of competition we are unable to solve Equation 16 for trait value as a function of time $\hat{z}(t)$ and are therefore unable to compute a time at risk t_r , as we did in the one-population case. However, we can show when competition will help or hinder adaptation, and therefore when competition has the potential to increase or decrease the likelihood of evolutionary rescue. Rearranging Equation 16 and comparing to the onepopulation case (Equation 6) shows that competition will increase the rate of adaptation when

327 (Appendix D)

$$\left|\frac{\partial}{\partial \hat{z}} \left(k(\hat{z}, z_n^*) - C(\hat{z}, t)\right)\right| > \frac{k(\hat{z}, z_n^*)}{k(\hat{z}, z_n^*) - C(\hat{z}, t)} \left|\frac{\partial k(\hat{z}, z_n^*)}{\partial \hat{z}}\right|,\tag{17}$$

and decrease the rate of adaptation when the inequality is reversed. Competition will tend 328 to speed adaptation when competition C is weak and gets much weaker as the focal popula-329 tion evolves towards $z_{c,n}^*$ (dot-dashed curve in Figure 6). Note that although competition may 330 increase the rate of adaptation, and therefore cause a greater rate of increase in abundance, 331 abundance will still be depressed by competition. Competition's effect on evolutionary rescue 332 (the time at risk t_r) will therefore depend on both its effect on adaptation and the abundance 333 k - C relative to critical abundance N_c (bottom panel in Figure 6). As maximal abundance 334 K-C approaches the critical value N_c evolutionary rescue becomes less likely, and regardless 335 of the rate of adaptation, when $K - C \leq N_c$ evolutionary rescue is impossible. 336

337 INCLUDE FIGURE 6 HERE

338 **3 Discussion**

In nature, population abundance cannot increase indefinitely [55]. One of the main 'checks of 339 increase' [56] is competition for resources [17, 57, 19, 58, 59]. Because populations with lower 340 abundances are more likely to go extinct [46], any factor which limits abundance is likely to 341 hinder persistence, especially when the environment changes [22]. However, when we consider 342 that populations can persist in new environments by adapting [3, 5], competition has a second 343 effect, in addition to lowering population size, which could potentially help populations persist 344 in novel environments. Since the rate a population adapts depends on the strength of selection 345 it experiences [60, 44], competition which increases the strength of selection may speed-up 346 adaptation [61] possibly increasing the chances of persistence in the face of change. 347

Intraspecific competition often has relatively little impact on selective pressures [58, 62] (but see [63]) and therefore the effect it has on evolutionary rescue will often be determined primarily by the effect it has on abundance. Previous computer simulations have suggested that negative density-dependence will have little effect on population persistence because survival depends on the dynamics of populations which are well below carrying capacity [29]. More

recent analytical work has come to a different conclusion, showing that, relative to the density-353 independent case, density-dependence can increase the rate at which abundance declines as 354 well as decrease the rate abundance recovers, therefore increasing the time a population spends 355 at risk of extinction [34]. The conflicting results are due to the different types of density-356 dependence used in the two studies. In Boulding and Hay [29] density-dependence is linear 357 (i.e., per capita growth rate declines linearly with abundance) while in Chevin and Lande [34] 358 density-dependence is stronger than linear at low abundances (the per capita growth rate de-359 clines logarithmically with abundance). Since it is the effect of density-dependence at low 360 abundances that is critical for population persistence, this explains why Chevin and Lande [34] 361 claim density-dependence increases the chances of extinction. A similar trend is expected in 362 biological invasions, where populations experiencing strong density-dependence at low abun-363 dances are predicted to invade slowly [64]. 364

Here we assume evolution is slow, and hence, on the timescale of evolution, populations 365 are always at carrying capacity. Carrying capacity therefore indicates how well a population 366 is adapted; populations below carrying capacity will increase in abundance without evolving, 367 and hence may not require evolutionary rescue if their carrying capacity is large enough. In our 368 model, it is the maximum carrying capacity that affects the potential, and need, for evolutionary 369 rescue. Since abundance asymptotically approaches maximum carrying capacity in evolution-370 ary time (Figure 2), maximum carrying capacity will have a larger effect on the time at risk as 371 it approaches the critical abundance (Figure 3). 372

Notice that maximum carrying capacity plays both a demographic and evolutionary role; 373 for a given environmental change, larger values keep populations at larger abundances (K in 374 Equation 8) and, following the change, increase the rate of evolution (K_0 in Equation 7). Here 375 we assume greater abundances lead to faster evolution because they cause greater mutational 376 inputs. In previous models (e.g., [3, 34]), where the rate of evolution is determined by additive 377 genetic variation instead of mutational input, the relationship between population size and the 378 rate of evolution can be weaker (reviewed in [65]). Although non-additive genetic effects, such 379 as epistasis and dominance, and temporal fluctuations in abundance (leading to lower effective 380 population sizes) can weaken the relationship between population size and the rate of evolution 381 [66], they do not qualitatively alter our results, but merely lead to a slower rate of evolution 382

383 than predicted.

Given the differences between quantitative genetics and adaptive dynamics [51], our results are surprisingly consistent with previous quantitative genetic models of evolutionary rescue (e.g., [3, 34]). We derive a similar evolutionary trajectory and agree with Gomulkiewicz and Holt [3] on with how time at risk should increase with initial maladaptation and decrease with abundance before environmental change.

There is, however, one major difference between our approach and previous models of 389 evolutionary rescue. All previous models assume the environmental change affects intrinsic 390 growth rate, and that it is the intrinsic growth rate that must evolve fast enough to allow persis-391 tence. In our model, intrinsic growth rate R has no effect on abundance since populations are 392 assumed to remain at demographic equilibrium, which is independent of R. In particular, the 393 environmental change might affect R with no effect on abundance (so long as R > 0). Intrinsic 394 growth rate is therefore irrelevant for evolutionary rescue in our model. Here rescue depends 395 on the effect of the environmental change on carrying capacity k, and the evolution of k. Past 396 models describe evolutionary rescue under r-selection while we describe evolutionary rescue 397 under K-selection [67, 68]. Hence, our model is more applicable to situations where density-398 dependence remains strong following the environmental change, during subsequent adaptation. 399 Density-dependence will remain strong when the demand for resources continues to equals 400 the supply. Obviously, density-dependence will remain strong when an environmental change 401 acts only to reduce the supply of resources. This describes how a population of Darwin's 402 finches has responded to drought [54]. The drought lowered the supply of seeds the finches ate, 403 causing a rapid decline in finch abundance. Competition for small seeds intensified following 404 drought and the finch population remained at carrying capacity, a carrying capacity which had 405 been reduced by decreased food supply. Density-dependence can also be maintained when an 406 environmental change leaves the supply of resources unaffected but increases the per capita 407 demands. For instance, if stress tolerance requires increased energetic demands, a population 408 exposed to a stress may continue to experience strong density-dependence despite a decline in 409 abundance and unaffected resources. This may describe the situation observed in recent experi-410 ments of evolutionary rescue in yeast populations exposed to salt, where glucose concentration 411 was unaffected [5, 38]. 412

Simulations indicate that our analytical approximations are sensitive to mutational input 413 and the fixation times of new beneficial mutations. When mutations are too frequent or fixation 414 times are too long we consistently underestimate the time at risk (Figure 4). The underestimate 415 likely arises from the adaptive dynamic assumption that fixation occurs instantaneously and the 416 population remains monomorphic. In simulations which permit greater polymorphism, less fit 417 phenotypes compete with those closer to the adaptive optimum, imposing a demographic load 418 on the population. The continued existence of less fit phenotypes slows the increase of carrying 419 capacity, causing populations to remain at risk of extinction for longer than expected. This is 420 similar to what, in microbial evolution, is refered to as 'clonal interference' [69]. However, 421 many populations should conform to our low mutation input assumption. For instance, the 422 mutations rate of Saccharomyces cerevisiae salt tolerance is approximately $\mu = 10^{-7}$ muta-423 tions per genome per generation [5]. Since our analytical approximations are accurate when 424 $\mu Klog(K) < 1$, our method can handle yeast populations of about one million cells or less. 425

Although our approximations are most sensitive to high mutational inputs and slow fixa-426 tion times, our assumption that mutational input is constant throughout adaptation (similar to 427 assuming constant phenotypic variance [48, 3]) becomes less realistic as the initial stress be-428 comes larger (Figure 4). Assuming constant mutational input is necessary for an analytical 429 solution, but causes us to consistently underestimate the time at risk. In reality, environmental 430 changes will cause reductions in abundance which will decrease the supply rate of new mu-431 tations (or phenotypic variance [48]), effectively 'pulling the rug out from under evolutionary 432 rescue' [30]. Both ours and the traditional quantitative genetic [48] analytical approximations 433 are less accurate under strong selection [29]. Because high mutation rates, long fixation times, 434 and large initial stresses all cause our approximation to underestimate the time at risk, our 435 analytical results can be considered a best-case scenario for population persistence. 436

Competition between individuals of distinct species is likely to cause dramatic changes in selective pressures [70, 62]. If competition is strong enough to drive rapid adaptation, competitors can potentially help a population adapt and persist following an environmental change. In a continuously changing environment, computer simulations of two competing populations have shown that competition can aid the persistence of the better adapted population by increasing selective pressure, effectively "pushing" the phenotype of the better adapted population toward

the moving optimal [36]. Our results clarify this point - competition can aid population persis-443 tence when it increases the selective pressure to evolve to the new environment - and give an 444 additional requirement: competition must increase selection pressure enough to overcome the 445 negative effect of reduced abundance. The effect of competition on evolutionary rescue can be 446 explained in terms of the overlap between the competitor's niche and the niche the focal popu-447 lation is attempting to adapt to. When the focal population is forced to adapt to a niche already 448 occupied by a competitor (strong niche overlap), competition will hinder adaptation because 449 competition selects in the opposite direction as the new environment (dashed curve in Figure 450 6). On the other hand, when the competitor has a niche which only partially overlaps the niche 451 the focal population is attempting to adapt to, it can speed adaptation by depressing the fitness 452 of individuals in the focal population which are farther from the new niche (dot-dashed curve 453 in Figure 6). We can illustrate this concept by returning to the example of Darwin's finches. 454 Drought reduced the supply of small seeds, shifting the niche available to the medium ground 455 finch (Geospiza fortis) to larger seeds. In general, this caused fortis populations to evolve to 456 larger size [54]. However, in the presence of the large ground finch G. magnirostris, who eat 457 large seeds (strong niche overlap), larger fortis were outcompeted by magnirostris, preventing 458 fortis from evolving to larger size [71, 72]. Meanwhile, in the presence of the small ground 459 finch G. fuliginosa, who eat small seeds (partial niche overlap), smaller fortis were outcom-460 peted by fuliginosa, causing fortis to evolve to a larger size faster than they did in the absence 461 of competitors [61]. Populations of *fortis* approached the new adaptive peak faster when in 462 competition with *fuliginosa* because *fuliginosa* increased selection pressure towards the peak. 463 What remains to be seen, and what is pivotal for evolutionary rescue, is whether the increased 464 adaptation of *fortis* in the presence of *fuliginosa* overcame the reduction in *fortis* abundance 465 caused by competition with fuliginosa. 466

On the other hand, competition may be the very reason evolutionary rescue is required for persistence in the first place. Invasive species, for example, can greatly reduce the abundance of pre-existing competitors, putting many populations at risk of extinction (reviewed in [14]). Our results suggest that some invading populations, which are themselves the cause of extinction risk, hinder evolutionary rescue in their competitors, while other invaders may permit rapid adaptation. The model presented here may therefore help predict if an invasive species is likely to cause niche displacement or extinction (reviewed in [13]). Since few examples of extinction
are associated with competitive interactions between native and invasive species [13], invading
competitors may often allow rapid adaptation.

Although we have shown that competition can help evolutionary rescue under specific circumstances, we have simultaneously shown that in other circumstances competition will surely hinder persistence. Interspecific competition is also expected to reduce rates of adaptation in the context of species' range limits [72] and gradual environmental changes in metacommunities [73]. When competition hinders adaptation, we expect evolutionary rescue to be more common in communities with reduced niche overlap, [74] or greater character displacement [75], since in these communities there should be less interspecific competition.

Coevolution can alter the demographic costs and selection pressures imposed by compe-483 tition, therefore impacting population persistence [70]. In our case, altering the strength and 484 selection pressure of competition means a shift in the height and slope of the competition curve 485 (Figure 5), respectively, as the focal population evolves. A number of previous studies have 486 investigated the effect of coevolution between competitors (although not in the context of evo-487 lutionary rescue; [37, 52, 53]). Here, instead of asking how a specific form of coevolution 488 influences persistence, we ask a more general question: what types of coevolution help (or 489 hinder) evolutionary rescue? For example, if coevolution is expected to cause strong character 490 displacement [53], not only will the less adapted population "push" the better adapted popula-491 tion to even greater levels of adaptation, but the better adapted population will also "push" the 492 less adapted population away from it, reducing the positive effect of competition on evolution-493 ary rescue. 494

Although our analytical approach sometimes requires stricter assumptions than simulation 495 studies (e.g., constant mutational input), it avoids the finite choice of parameter values de-496 manded in simulation studies, and thereby provides more general results. For instance, our 497 expression for time at risk (Equation 10) shows a unimodal relationship with environmental 498 tolerance (Figure 5), indicating that extinction is most likely at intermediate tolerances. Ex-499 tinction is most probable at intermediate environmental tolerances because small tolerances 500 cause strong selection pressures and hence - if the population can survive the initial stress - fast 501 evolution, while large tolerances allow high degrees of maladaptation without a demographic 502

cost. To our knowledge, this is the first time this relationship has been clearly demonstrated. 503 In a recent experiment of adaptation to a novel environment under competition, Collins [9] 504 subjected pairs of competing photosynthetic microbe strains to increased carbon dioxide levels. 505 Despite the loss of one of the competing strains part way through the experiment, the presence 506 of a competitor at the beginning of the experiment always reduced the final abundance of the 507 survivor. Collins [9] partitioned the effects of physiology, evolution to increased carbon dioxide 508 levels, and competitive ability on final abundance. She found that when competition had an 509 effect it was always opposing evolution to carbon dioxide. In other words, when competition 510 affected adaptation it was because the superior competitor went extinct while the strain most 511 capable of adapting to the new environment evolved slower than it would have in monoculture. 512 A trade-off between competitive ability and the ability to adapt to abiotic change lowered the 513 abundance of both strains, impeding evolutionary rescue of all. In our model, this amounts 514 to a positive correlation between carrying capacity and competition during the initial stages 515 of adaptation. When this positive correlation exists, competition will nearly always impede 516 evolutionary rescue. 517

To our knowledge, this is the first analytical work to investigate the effect of interspecific competition on evolutionary rescue following an abrupt environmental change. In doing so, we have highlighted the general ecological and evolutionary settings where competition should help or hinder persistence to environmental change.

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529 5 Appendix A

Here we find the singular strategy in the one-population case and evaluate its stability. Detailed
 methods can be found in Geritz et al. [40]. From Equation 1 the local fitness gradient is

$$\frac{\partial}{\partial z_m} \left(\frac{1}{n_m} \frac{dn_m}{dt} \right) \Big|_{z_m = \hat{z}} = \left[-R \frac{\partial}{\partial z_m} \frac{\alpha(z_m, \hat{z})n_r}{k(z_m, z^*)} \right]_{z_m = \hat{z}},\tag{A1}$$

where z_m is the trait value of a rare mutant with abundance n_m and \hat{z} is the trait value of the resident with abundance n_r . Dropping the arguments of the functions and denoting $\frac{\partial}{\partial z_m}$ with prime gives

$$\frac{\partial}{\partial z_m} \left(\frac{1}{n_m} \frac{dn_m}{dt} \right) \Big|_{z_m = \hat{z}} = \left[-R \left(n_r \frac{\alpha' k - \alpha k'}{k^2} \right) \right]_{z_m = \hat{z}}.$$
 (A2)

535 Assuming $\frac{d}{dz}\alpha(z,z) = 0$ and $\alpha(z,z) = 1$, evaluating at $z_m = \hat{z}$ gives

$$\frac{\partial}{\partial z_m} \left(\frac{1}{n_m} \frac{dn_m}{dt} \right) \Big|_{z_m = \hat{z}} = R n_r \frac{k'}{k^2}.$$
(A3)

Specifying k as a Gaussian function (Equation 2) with mean z^* and variance σ_k^2 ,

$$\frac{\partial}{\partial z_m} \left(\frac{1}{n_m} \frac{dn_m}{dt} \right) \Big|_{z_m = \hat{z}} = R \frac{(\hat{z} - z^*)}{\sigma_k^2} e^{-(\hat{z} - z^*)^2 / 2\sigma_k^2}.$$
 (A4)

The local fitness gradient is zero when $\hat{z} = z^*$ (i.e., z^* is the singular strategy). If z^* maximizes the local fitness gradient it is a fitness maximum and therefore evolutionary stable (ESS). If z^* minimizes the local fitness gradient it is a fitness minima and evolutionary branching may occur [40]. The singular strategy is a fitness maximum when

$$\frac{\partial^2}{\partial z_m^2} \left(\frac{1}{n_m} \frac{dn_m}{dt} \right) \big|_{z_m = \hat{z} = z^*} < 0 \tag{A5}$$

541 or, equivalently

$$\left[-Rn_r\frac{\partial}{\partial z_m}\left(\frac{\alpha'k-\alpha k'}{k^2}\right)\right]_{z_m=\hat{z}=z^*} < 0.$$
(A6)

542 Evaluating at $z_m = \hat{z} = z^*$ gives

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$$-R(\alpha'' - k''/K) < 0, (A7)$$

and z^* is therefore evolutionary stable when

$$\alpha'' > k''/K. \tag{A8}$$

544 Specifying k as Equation 2, z^* is evolutionary stable when

$$\alpha'' > -1/\sigma_k^2. \tag{A9}$$

The population will converge on the singular strategy z^* only if

$$\left[\frac{\partial^2}{\partial z_m^2} \left(\frac{1}{n_m} \frac{dn_m}{dt}\right)\right]_{z_m = \hat{z} = z^*} < \left[\frac{\partial^2}{\partial \hat{z}^2} \left(\frac{1}{n_m} \frac{dn_m}{dt}\right)\right]_{z_m = \hat{z} = z^*}$$
(A10)

$$-R(\alpha'' - k''/K) < 0, (A11)$$

and so, if the singular point is evolutionary stable it is also convergence stable. Throughout the
 paper we assume Equation A11 holds to simplify our analysis of evolutionary rescue.

548 6 Appendix B

Here we derive approximations for the ecological and evolutionary dynamics in the one-population case (Equations 7 and 8). We first move all terms of Equation 6 with \hat{z} to the left-hand side and bring *dt* to the right. Then taking the integral,

$$\int \frac{e^{(\hat{z}-z_n^*)^2/2\sigma_k^2}}{(\hat{z}-z_n^*)} d\hat{z} = \int \frac{-\mu \sigma_\mu^2 K R}{2\sigma_k^2} dt.$$
 (B1)

Since there is no analytical solution for the indefinite integral on the left hand side, we use the Taylor expansion about x = 0, $\frac{e^{x^2/a}}{x} = \sum \frac{x^{2n-1}}{n!a^n}$, with $x = \hat{z} - z_n^*$ and $a = 2\sigma_k^2$. Taking the Taylor series about $\hat{z} - z_n^* = 0$ leads us to assume a small change in abundance and hence constant mutational input μK . We therefore replace K with K_0 to indicate that mutational

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⁵⁵⁶ input depends on the original abundance. We now have

$$\int \sum_{n=0}^{\infty} \frac{(\hat{z} - z_n^*)^{2n-1}}{n! (2\sigma_k^2)^n} d\hat{z} = \frac{-\mu \sigma_\mu^2 K_0 R}{2\sigma_k^2} t$$
(B2)

$$\int \left(\frac{1}{\hat{z} - z_n^*} + \frac{\hat{z} - z_n^*}{2\sigma_k^2} + \frac{(\hat{z} - z_n^*)^3}{8\sigma_k^4} + \ldots\right) d\hat{z} = \frac{-\mu\sigma_\mu^2 K_0 R}{2\sigma_k^2} t$$
(B3)

$$ln(\hat{z} - z_n^*) + \frac{(\hat{z} - z_n^*)^2}{4\sigma_k^2} + \dots + C = \frac{-\mu\sigma_\mu^2 K_0 R}{2\sigma_k^2} t.$$
 (B4)

557 Approximating to the first order

$$ln(\hat{z} - z_n^*) + C \approx \frac{-\mu \sigma_\mu^2 K_0 R}{2\sigma_k^2} t,$$
(B5)

stand solving for \hat{z} gives

$$\hat{z} \approx z_n^* + e^{\frac{-\mu\sigma_\mu^2 K_0 R}{2\sigma_k^2}t - C}.$$
 (B6)

559 At t = 0 we have $\hat{z} = z_0^*$, so $C = -ln(z_0^* - z_n^*)$ and we get Equation 7:

$$\hat{z}(t) \approx z_n^* + (z_0^* - z_n^*) e^{\frac{-\mu \sigma_\mu^2 K_0 R}{2\sigma_k^2} t}.$$
 (B7)

⁵⁶⁰ Subbing Equation B7 into Equation 2 gives an approximate description of population abun-⁵⁶¹ dance across evolutionary time (Equation 8).

562 7 Appendix C

Here we find the singular strategies for a population experiencing interspecific competition and
 evaluate their stability. From Equation 13 the local fitness gradient is

$$\frac{\partial}{\partial z_m} \left(\frac{1}{n_m} \frac{dn_m}{dt} \right) \Big|_{z_m = \hat{z}} = \left[-R \frac{\partial}{\partial z_m} \left(\frac{\alpha(z_m, \hat{z})n_r + C(z_m, t)}{k(z_m, z^*)} \right) \right]_{z_m = \hat{z}}.$$
 (C1)

where z_m and n_m are the trait value and abundance of a rare mutant, respectively, in a population with resident trait value \hat{z} and abundance n_r . We drop the arguments of the functions and

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⁵⁶⁷ denote $\frac{\partial}{\partial z_m}$ with prime. Expanding gives

$$\frac{\partial}{\partial z_m} \left(\frac{1}{n_m} \frac{dn_m}{dt} \right) \Big|_{z_m = \hat{z}} = -R \Big[n_r \frac{\alpha' k - \alpha k'}{k^2} + \frac{C' k - Ck'}{k^2} \Big]_{z_m = \hat{z}}.$$
 (C2)

568 And from Equation 14:

$$\frac{\partial}{\partial z_m} \left(\frac{1}{n_m} \frac{dn_m}{dt} \right) \Big|_{z_m = \hat{z}} = -R \left[(k - C) \frac{\alpha' k - \alpha k'}{k^2} + \frac{C' k - Ck'}{k^2} \right]_{z_m = \hat{z}}.$$
 (C3)

569 Evaluating at $z_m = \hat{z}$:

$$\frac{\partial}{\partial z_m} \left(\frac{1}{n_m} \frac{dn_m}{dt} \right) \Big|_{z_m = \hat{z}} = R \Big[\frac{\alpha' k^2 - \alpha k k' - \alpha' C k + \alpha C k' + C' k - C k'}{k^2} \Big].$$
(C4)

Assuming intraspecific competition α is maximal when individuals share the same trait value, $\frac{\partial}{\partial z_i} \alpha(z_i, z_i) = 0$, and $\alpha(z_i, z_i) = 1$:

$$g(\hat{z}, z^*) = \frac{\partial}{\partial z_m} \left(\frac{1}{n_m} \frac{dn_m}{dt} \right) \Big|_{z_m = \hat{z}} = -R \left[\frac{k' - C'}{k} \right].$$
(C5)

Equation C5 determines the direction of selection. Evolution proceeds until $g(\hat{z}, z^*) = 0$, in this case when k' = C'. The trait values giving $g(\hat{z}, z^*) = 0$ are evolutionary singular strategies, which we will denote z_c^* . If z_c^* maximizes $g(\hat{z}, z^*)$, z_c^* is a fitness maximum; when $\hat{z} = z_c^*$ no nearby mutant can invade and the population remains monomorphic with $\hat{z} = z_c^*$. However, when z_c^* minimizes $g(\hat{z}, z^*)$, z_c^* is a fitness minima and evolutionary branching may occur [40]. A singular point z_c^* is a fitness maximum when

$$\frac{\partial^2}{\partial z_m^2} \left(\frac{1}{n_m} \frac{dn_m}{dt} \right) \Big|_{z_m = \hat{z} = z_c^*} = -R \Big[\frac{\alpha''(k^2 - Ck) + k(C'' - k'') + (k')^2(k^3 - Ck^2 - 1)}{k^2} \Big] < 0.$$
(C6)

To simplify our analysis of evolutionary rescue we assume that all singular strategies our population approaches are fitness maxima. This assumes, at $z_m = \hat{z} = z_c^*$,

$$\alpha''(k^2 - Ck) + k(C'' - k'') + (k')^2(k^3 - Ck^2 - 1) > 0.$$
(C7)

⁵⁸⁰ We will also assume the singular strategies are convergence stable, requiring:

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$$\left[\frac{\partial^2}{\partial z_m^2} \left(\frac{1}{n_m} \frac{dn_m}{dt}\right)\right]_{z_m = \hat{z} = z_c^*} < \left[\frac{\partial^2}{\partial \hat{z}^2} \left(\frac{1}{n_m} \frac{dn_m}{dt}\right)\right]_{z_m = \hat{z} = z_c^*}.$$
(C8)

581 8 Appendix D

Beginning with Equation 16, we look to find when interspecific competition speeds adaptation towards the optimal $z^* = z_n^*$. Dropping the arguments of the functions and denoting $\frac{\partial}{d\hat{z}}$ with prime, Equation 16 reads

$$\frac{d\hat{z}}{dt} = \frac{-\mu\sigma_{\mu}^2}{2} \left[k - C\right] R \left[\frac{k' - C'}{k}\right] \tag{D1}$$

$$\frac{d\hat{z}}{dt} = \frac{-\mu\sigma_{\mu}^{2}R}{2} \Big[\frac{(k-C)(k'-C')}{k} \Big].$$
 (D2)

Since in the one-population case $\frac{d\hat{z}}{dt} = \frac{-\mu \sigma_{\mu}^2 R}{2} k'$ (Equation 6), competition will speed evolution when

$$\left|\frac{(k-C)(k'-C')}{k}\right| > |k'|. \tag{D3}$$

Since k and k - C must be positive for the population to persist,

$$|k' - C'| > \frac{k}{k - C}|k'|,$$
 (D4)

588 yielding Equation 17.

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749 **9** Figure captions

Figure 1: Our initially adapted population is monomorphic for the optimal phenotype in the original environment $\hat{z}=z_0^*$ (gray). When the environment changes, the carrying capacity function shifts (black). The new carrying capacity of our population $K_n = k(z_0^*, z_n^*)$ is the height of the intersection of the original trait value z_0^* and the new carrying capacity function. The population evolves towards the new optimal phenotype z_n^* . The population is at risk of extinction while its abundance is less than N_c , or equivalently, while $\hat{z} < z_{N_c}$.

Figure 2: Adaptation following an abrupt change in the environment. (Top) Population trait 756 value \hat{z} evolves towards the new optimal z_n^* (Equation 7). The time it takes to evolve a trait 757 value z_{N_c} , which gives a critical abundance N_c , is the expected 'time at risk' t_r (Equation 10). 758 (Bottom) Population abundance \tilde{n} increases as the population adapts to the new environment 759 (Equation 8). Solid lines are analytical predictions (Equations 7 and 8). Greyscale is trait value 760 weighted by abundance in a computer simulation, with dark common and white rare. The thick 761 dashed line is total abundance at each time step in simulation. The observed time at risk is 762 denoted $t_{r_{obs}}$. 763

Figure 3: (Top) Time at risk t_r (Equation 10) increases monotonically with the magnitude of 764 environmental change $|z_0^* - z_n^*|$. Magnitudes of change smaller than $\triangle z^{**}$ are not large enough 765 to put the population at risk of extinction (Equation 9) and magnitudes of change larger than 766 $\triangle z^*$ cause immediate extinction (Equation 3). (*Middle*) Time at risk t_r increases as the critical 767 abundance N_c approaches maximum abundance K. As the critical abundance approaches the 768 maximum abundance, $N_c/K \rightarrow 1$, the ratio has a stronger effect on the time at risk. (*Bottom*) 769 Time at risk t_r is a unimodal function of 'environmental tolerance' σ_k , where extinction is most 770 likely at intermediate values. We must have $\sigma_k > \sigma_k^*$ for the population to survive the initial 771 change in the environment and $\sigma_k < \sigma_k^{**}$ for the population abundance to drop below N_c (σ_k^* and 772 σ_k^{**} are derived by rearranging Equations 3 and 9, respectively). 773

Figure 4: Accuracy of analytical prediction, in the one-population case. Each point represents the mean \pm SE for ten replicated simulation runs. Solid line is 1:1 line; points falling on line represent perfect predictions of time at risk t_r . Squares: $\mu Klog(K) \leq 0.1$; Circles: $\mu Klog(K) \leq 1$; Triangles: $\mu Klog(K) > 1$; Black: $|z_0^* - z_n^*| \sigma_k^{-1} = 1.2$; Grey: $|z_0^* - z_n^*| \sigma_k^{-1} = 2.1$. Parameters: $\mu = \{10^{-7}, 10^{-6}, 10^{-5}, 10^{-4}\}, K = \{10^4, 10^5, 10^6\}, \sigma_{\mu} = \{0.01, 0.05\}, R = 1, \sigma_k = 1, \sigma_{\alpha} = 1.5, \text{ and } N_c \text{ is 1000 greater than the minimum abundance of each run.}$

Figure 5: Selection pressures from carrying capacity and competition. The population evolves 780 to increase population size according to Equation 15. Population size is carrying capacity minus 781 competition k - C (solid curve minus dashed curve). Populations can persist in communities 782 only when they have positive population size (region of persistence; solid line higher than the 783 dashed line). The selection pressure in the new environment is proportional to the selection for 784 carrying capacity (slope of solid curve) minus the selection for competition (slope of dashed 785 curve). The population will therefore evolve towards the trait value for which the slopes of the 786 two curves are equal $\hat{z} \to z_{c,n}^*$. The effective selection pressure will depend on the shape of the 787 two curves and the position of the population in trait space. (A) Competition increases selection 788 pressure. Competition decreases as carrying capacity increases, meaning both carrying capac-789 ity and competition select in the same direction. (B) Competition reduces selection pressure. 790 Competition increases as carrying capacity increases, meaning carrying capacity and compe-791 tition exert opposing selection pressures. Note that if the competition curve was steeper than 792 carrying capacity competition could reverse the direction of evolution. (C) Competition affects 793 all phenotypes equally, and therefore has no effect on selection pressure. (D) Competition in-794 creases or decreases selection pressure. When $\hat{z} < z^*_{c,n}$ competition and carrying capacity exert 795 opposing selection pressures. When $\hat{z} > z_n^*$ competition and carrying capacity select in the 796 same direction, towards $z_{c,n}^*$, until $\hat{z} = z_n^*$. Competition and carrying capacity will then exert 797 opposing selection pressures as the population approaches $z_{c,n}^*$. 798

Figure 6: Competition can help or hinder evolutionary rescue. (Top) Carrying capacity k (solid 799 curve) as a function of trait value \hat{z} and two competition C scenarios: complete niche overlap 800 (dashed curve) or partial niche overlap (dot-dashed curve). (Middle) With complete niche over-801 lap (dashed curve) competition increases as the population adapts, and the population therefore 802 adapts slower than it would without competition (solid curve). With partial niche overlap (dot-803 dashed curve) competition decreases as the population adapts, and the population therefore 804 adapts faster. (Bottom) The time a population spends at risk of extinction (the time abundance 805 \tilde{n} is below critical abundance N_c) depends on competition's effect on abundance and evolution 806

as well as on the value of the critical abundance. For instance, when the critical abundance is low $N_{c,low}$ both competition scenarios increase the time at risk relative to when there is no competition (solid curve) because they depress the focal population's abundance. However, when the critical abundance is high $N_{c,high}$ partial niche overlap (dot-dashed curve) decreases the time at risk relative to the no competition case (solid curve) because it sufficiently increases the rate of adaptation.

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813 10 Figures



Figure 1:



Figure 2:



Figure 3:



Figure 4:



Figure 5:



Figure 6: